

October 18, 2019

The DCS – CRD
BSE LIMITED
Phiroze Jeejeebhoy Tower,
Dalal Street, Fort,
Mumbai 400 001

Company Code No. 531595

The Listing Manager
NATIONAL STOCK EXCHANGE OF INDIA LIMITED
Listing Department, Exchange Plaza,
5th Floor, Plot No. C1,
G Block, Bandra - Kurla Complex,
Bandra (East),
Mumbai – 400 051
Company Code CGCL

Sub: Submission of Statement of Shareholding Pattern as per Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

In terms of Regulation 31(1) (b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement of Shareholding Pattern of the Company for the quarter ended on September 30, 2019.

We request you to kindly take the above on record.

Thanking you,

Yours faithfully,
for Capri Global Capital Limited


Ashish Gupta
Chief Financial Officer



Encl.: As above

Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@capriglobal.in; Website: www.capriglobal.in

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Capri Global Capital Limited		
2.	Scrip Code/Name of Scrip/Class of Security: 531595		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending : September 30, 2019	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
		Particulars	
			Yes* No*
1		Whether the Listed Entity has issued any partly paid up shares?	No
2		Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3		Whether the Listed Entity has any shares against which depository receipts are issued?	No
4		Whether the Listed Entity has any shares in locked-in?	No
5		Whether any shares held by promoters are pledge or otherwise encumbered?	No
6		Whether Company has equity shares with differential voting rights?	No
7		Whether the Listed Entity has any significant beneficial owner?	No
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			



Capri Global Capital Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) as a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0	0	0.00	131242538
(B)	Public	4805	43892267	0	0	43892267	25.062	43892267	0	43892267	25.062	0	25.062	0	0	0	0.00	43866857
(C)	Non Promoter - Non Public				0				0			0			0	0	0.00	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0
	Total	4810	175134805	0	0	175134805	100	175134805	0	175134805	100	0	100	0	0	0	0.00	175109395



Capri Global Capital Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y								
									(I)	(II)	(III)			(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family		3	45900835	0	0	45900835	26.2089	45900835	0	45900835	26.2089	0	0	0	0	0	45900835
	Ramesh Chandra Sharma	ADUPS5874K	1	43764930	0	0	43764930	24.9893	43764930	0	43764930	24.9893	0	0	0	0	0	43764930
	Ramesh Chandra Sharma (HUF)	AAFHR9816N	1	2135405	0	0	2135405	1.2193	2135405	0	2135405	1.2193	0	0	0	0	0	2135405
	Rajesh Sharma	AMBPS1495A	1	500	0	0	500	0.0003	500	0	500	0.0003	0	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	0	0	0	0	85341703
	Bodies Corporate		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	0	0	0	0	85341703
	Capri Global Holdings Private Limited	AABCM4155A	1	67824643	0	0	67824643	38.7271	67824643	0	67824643	38.7271	0	0	0	0	0	67824643
	Capri Global Advisory Services Private Limited	AACCP2478C	1	17517060	0	0	17517060	10.002	17517060	0	17517060	10.002	0	0	0	0	0	17517060
	Sub Total (A)(1)		5	131242538	0	0	131242538	74.94	131242538	0	131242538	74.94	0	0	0	0	0	131242538
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		5	131242538	0	0	131242538	74.94	131242538	0	131242538	74.94	0	0	0	0	0	131242538

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Capri Global Capital Limited																		
Table III - Statement showing shareholding pattern of the Public shareholder																		
Category	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1	Institutions																	
(a)	Mutual Fund		1	1667	0	0	1667	0.001	1667	0	1667	0.001	0	0	0	0	0	1667
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(e)	Foreign Portfolio investor		13	7169472	0	0	7169472	4.0937	7169472	0	7169472	4.0937	0	0	0	0	0	7169472
	Albula Investment Fund Ltd	AAHCA3597Q	1	5678178	0	0	5678178	3.2422	5678178	0	5678178	3.2422	0	0	0	0	0	5678178
(f)	Financial Institutions / Banks		2	5203	0	0	5203	0.003	5203	0	5203	0.003	0	0	0	0	0	5203
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	Sub Total (B)(1)		16	7176342	0	0	7176342	4.10	7176342	0	7176342	4.10	0	0	0	0	0	7176342
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
3	Non-Institutions																	
(a)	Individuals																	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4387	2850581	0	0	2850581	1.6276	2850581	0	2850581	1.6276	0	0	0	0	0	2850581
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	883250	0	0	883250	0.5043	883250	0	883250	0.5043	0	0	0	0	0	883250
(b)	NBFCs registered with RBI		1	8050	0	0	8050	0.0046	8050	0	8050	0.0046	0	0	0	0	0	8050
(c)	Trust Employee		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(e)	Any Other (Specify)		397	32974044	0	0	32974044	18.8278	32974044	0	32974044	18.8278	0	0	0	0	0	32974044
	IEPF		1	35615	0	0	35615	0.0203	35615	0	35615	0.0203	0	0	0	0	0	35615
	Hindu Undivided Family		128	481962	0	0	481962	0.2752	481962	0	481962	0.2752	0	0	0	0	0	481962
	Non Resident Indians (Non Repat)		21	18001	0	0	18001	0.0103	18001	0	18001	0.0103	0	0	0	0	0	18001
	Non Resident Indians (Repat)		56	44316	0	0	44316	0.0253	44316	0	44316	0.0253	0	0	0	0	0	44316
	Clearing Member		83	159287	0	0	159287	0.091	159287	0	159287	0.091	0	0	0	0	0	159287
	Bodies Corporate		110	32234863	0	0	32234863	18.4057	32234863	0	32234863	18.4057	0	0	0	0	0	32234863
	Gainful Multitrade Private Limited	AACCG2852M	1	9563636	0	0	9563636	5.4607	9563636	0	9563636	5.4607	0	0	0	0	0	9563636
	Roopam Multitrade Private Limited	AADCR7121H	1	6784558	0	0	6784558	3.8739	6784558	0	6784558	3.8739	0	0	0	0	0	6784558
	Badrikedar Commercials Private Limited	AACCB8087D	1	3403224	0	0	3403224	1.9432	3403224	0	3403224	1.9432	0	0	0	0	0	3403224
	Samvrudhi Multitrade Pvt Ltd	AACBI8722G	1	3229599	0	0	3229599	1.8441	3229599	0	3229599	1.8441	0	0	0	0	0	3229599
	Gladilous Property And Investments Private Limited	AADCG0676N	1	2580375	0	0	2580375	1.4734	2580375	0	2580375	1.4734	0	0	0	0	0	2580375
	Samvrudhi Tradesol Pvt.Ltd	AAALCS8190E	1	2315729	0	0	2315729	1.3223	2315729	0	2315729	1.3223	0	0	0	0	0	2315729
	Future Corporate Resources Private Limited	AAALCS4222N	1	2194995	0	0	2194995	1.2533	2194995	0	2194995	1.2533	0	0	0	0	0	2194995
	Sub Total (B)(3)		4789	36715925	0	0	36715925	20.96	36715925	0	36715925	20.96	0	0	0	0	0	36690515
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4805	43892267	0	0	43892267	25.06	43892267	0	43892267	25.06	0	0	0	0	0	43866857

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)			(x)	(xi) = (vii)+(x)	(xii)		(xiii)		(xiv)	
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Capri Global Capital Limited

Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the significant beneficial owner			Details of the registered owner			Particulars of the shares in which significant beneficial interest is held by the beneficial owner		Date of creation / acquisition of significant beneficial interest
	(I)			(II)			(III)		(IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Ramesh Chandra Sharma	ADUPS5874K	Indian	Ramesh Chandra Sharma	ADUPS5874K	Indian	43764930	24.989282	
				Ramesh Chandra Sharma (HUF)	AAFHR9816N	Indian	2135405	1.219292	
				Capri Global Holdings Private Limited	AABCM4155A	Indian Company	33980140	19.402277	
				Capri Global Advisory Services Private Limited	AACCP2478C	Indian Company	12608636	7.1993890	
2	Rajesh Sharma	AMBPS1495A	Indian	Rajesh Sharma	AMBPS1495A	Indian	500	0.000300	
				Capri Global Holdings Private Limited	AABCM4155A	Indian Company	33844491	19.324823	
				Capri Global Advisory Services Private Limited	AACCP2478C	Indian Company	4066746	2.322066	
Total								74.457429	

