

Date: April 04, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the compliance report on Corporate Governance of the Company for the quarter and year ended March 31, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in

**Annexure I**

1. Name of Listed Entity: Capri Global Capital Limited  
 2. Quarter ending: March 31, 2018

<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorship in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Mr.	Quintin E. Primo III	PAN: N.A DIN: 06600839	Chairperson Non-executive Non Independent Director	02-Aug-2013	4 years & 6 months (56 months)	1	0	0
Mr.	Bipin Kabra	PAN: AAKPK5706B DIN: 02879448	Executive	17-Jul-2017	8 months	1	1	0
Mr.	Rajesh Sharma	PAN: AMBPS1495A DIN: 00020037	Non-executive Non Independent Director	15-May-2007	10 years & 9 months (131 months)	1	1	0
Ms.	Bhagyam Ramani	PAN: AGEPR8495C DIN: 00107097	Non Executive Independent Director	28-Jul-2012	5 years & 6 months (68 months)	4	2	0



Mr.	Mukesh Kacker	PAN: AGTPK2827N DIN: 01569098	Non Executive Independent Director	11-Feb-2012	6 years & 1 month (74 months)	2	1	0
Mr.	T. R. Bajalia	PAN: ABLPB5232B DIN: 02291892	Non Executive Independent Director	19-Jun-2014	3 years & 7 months (45 months)	2	2	0
Mr.	Desh Raj Dogra	PAN: ADZPD5926E DIN: 00226775	Non Executive Independent Director	10-May-2017	11 months	3	1	1
Mr.	Beni Prasad Rauka	PAN: ABKPR5067M DIN: 00295213	Non Executive Independent Director	12-Jan-2011	7 years & 2 months (87 months)	2	3	2



<b>II. Composition of Committees</b>		
<b>Name of Committee</b>	<b>Name of Committee members</b>	<b>Category (Chairperson / Executive / Non- Executive / Independent / Nominee)</b>
1. Audit Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Mr. Mukesh Kacker	Independent - Member
	Ms. Bhagyam Ramani	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
2. Nomination & Remuneration Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. Rajesh Sharma	Non- Executive - Member
3. Risk Management Committee	Mr. Bipin Kabra	Chairperson - Executive
	Ms. Bhagyam Ramani	Independent - Member
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
	Mr. Desh Raj Dogra	Independent - Member
4. Stakeholders Relationship Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Mr. Rajesh Sharma	Non- Executive – Member
	Ms. Bhagyam Ramani	Independent – Member
	Mr. Bipin Kabra	Executive – Member
5. Corporate Social Responsibility Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Bipin Kabra	Executive – Member
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. Rajesh Sharma	Non- Executive - Member



<b>III. Meeting of Board of Directors</b>			
<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of Meeting (if any) in the relevant quarter</b>	<b>Maximum gap between any two consecutive (in number of days)</b>	
06 November-2017	03 February 2018	88 days	
<b>IV. Meeting of Committees</b>			
<b>A. Audit Committee</b>			
<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of quorum met (details)</b>	<b>Date(s) of meeting of the Committee in the previous quarter</b>	<b>Maximum gap between any two consecutive meetings in number of days</b>
01-February-2018	Yes	06-November-2017	86 days
<b>V. Related Party Transactions</b>			
<b>Subject</b>		<b>Compliance status (Yes/No/NA)</b>	
Whether prior approval of audit committee obtained		N.A	
Whether shareholder approval obtained for material RPT		N.A.	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		N.A.	



**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration committee
  - c. Stakeholders Relationship committee
  - d. Risk Management Committee (~~applicable to the top 100 listed entities~~)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. ~~This report and/or~~ The report submitted in the previous quarter has been placed before Board of Directors.

**Name & Designation: Harish Agrawal**  
**Company Secretary**



## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>	
<b><i>Item</i></b>	<b><i>Compliance status (Yes/No/NA)</i></b>
Details of business	Yes
Terms and conditions of appointment of independent directors	Yes
Composition of various committees of board of directors	Yes
Code of conduct of board of directors and senior management personnel	Yes
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes
Criteria of making payments to non-executive directors	Yes
Policy on dealing with related party transactions	Yes
Policy for determining 'material' subsidiaries	Yes
Details of familiarization programmes imparted to independent directors	Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes
email address for grievance redressal and other relevant details	Yes
Financial results	Yes
Shareholding pattern	Yes
Details of agreements entered into with the media companies and/or their associates	Not Applicable
New name and the old name of the listed entity	Not Applicable



<b>II. Annual Affirmations</b>		
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes
<i>Board composition</i>	17(1)	Yes
<i>Meeting of Board of directors</i>	17(2)	Yes
<i>Review of Compliance Reports</i>	17(3)	Yes
<i>Plans for orderly succession for appointments</i>	17(4)	Yes
<i>Code of Conduct</i>	17(5)	Yes
<i>Fees/compensation</i>	17(6)	Yes
<i>Minimum Information</i>	17(7)	Yes
<i>Compliance Certificate</i>	17(8)	Yes
<i>Risk Assessment &amp; Management</i>	17(9)	Yes
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes
<i>Composition of Audit Committee</i>	18(1)	Yes
<i>Meeting of Audit Committee</i>	18(2)	Yes
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes
<i>Vigil Mechanism</i>	22	Yes
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) & (8)	Yes
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes
<i>Approval for material related party transactions</i>	23(4)	Not Applicable
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes
<i>Maximum Directorship &amp; Tenure</i>	25(1) & (2)	Yes
<i>Meeting of independent directors</i>	25(3) & (4)	Yes
<i>Familiarization of independent directors</i>	25(7)	Yes
<i>Memberships in Committees</i>	26(1)	Yes
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes

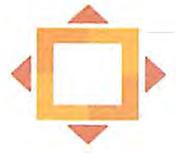


**III Affirmations:**

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - Yes

**Name** : Harish Agrawal  
**Designation** : Company Secretary





Date: April 06, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

Pursuant to Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith Shareholding Pattern of the Company for the quarter ended March 31, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**

Encl: As above



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1. Name of Listed Entity: Capri Global Capital Limited
2. Scrip Code/Name of Scrip/Class of Security: 531595
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : 31st March, 2018
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sno.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Capri Global Capital Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0.0000	0	0.0000	131242538
(B)	Public	7440	43892267	0	0	43892267	25.062	43892267	0	43892267	25.062	0	25.062	0	0.0000	NA	NA	43858857
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
	<b>Total</b>	<b>7445</b>	<b>175134805</b>	<b>0</b>	<b>0</b>	<b>175134805</b>	<b>100</b>	<b>175134805</b>	<b>0</b>	<b>175134805</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>175101395</b>



Capri Global Capital Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sno.	Category & Name of the shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights					Total as a % of Total Voting rights (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		3	45900835	0	0	45900835	26.2089	45900835	0	45900835	26.2089	0	26.2089	0	0	0	0	45900835
	Ramesh Chandra Sharma	ADUP55874K	1	43764930	0	0	43764930	24.9893	43764930	0	43764930	24.9893	0	24.9893	0	0	0	0	43764930
	Ramesh Chandra Sharma (HUF)	AAFHR9816N	1	2135405	0	0	2135405	1.2193	2135405	0	2135405	1.2193	0	1.2193	0	0	0	0	2135405
	Rajesh Sharma	AMBPS1495A	1	500	0	0	500	0.0003	500	0	500	0.0003	0	0.0003	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Bodies Corporate		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Capri Global Holdings Private Limited	AABCM4155A	1	67824643	0	0	67824643	38.7271	67824643	0	67824643	38.7271	0	38.7271	0	0	0	0	67824643
	Capri Global Advisory Services Private Limited	AACCP2478C	1	17517060	0	0	17517060	10.002	17517060	0	17517060	10.002	0	10.002	0	0	0	0	17517060
	<b>Sub Total (A)(1)</b>		<b>5</b>	<b>131242538</b>	<b>0</b>	<b>0</b>	<b>131242538</b>	<b>74.938</b>	<b>131242538</b>	<b>0</b>	<b>131242538</b>	<b>74.938</b>	<b>0</b>	<b>74.938</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>131242538</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>		<b>5</b>	<b>131242538</b>	<b>0</b>	<b>0</b>	<b>131242538</b>	<b>74.938</b>	<b>131242538</b>	<b>0</b>	<b>131242538</b>	<b>74.938</b>	<b>0</b>	<b>74.938</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>131242538</b>



Capri Global Capital Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Sn.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights					Total as a % of Total Voting Rights (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		4	13806443	0	0	13806443	7.8833	13806443	0	13806443	7.8833	0	7.8833	0	0	NA	NA	13806443
	Bay Pond Mb	AAACW8079J	1	7209178	0	0	7209178	4.1164	7209178	0	7209178	4.1164	0	4.1164	0	0	NA	NA	7209178
	Morgan Stanley Mauritius Company Limited	AADCM5927G	1	2743995	0	0	2743995	1.5668	2743995	0	2743995	1.5668	0	1.5668	0	0	NA	NA	2743995
	Bay Pond Bmd Mb	AAACW8084H	1	2116543	0	0	2116543	1.2085	2116543	0	2116543	1.2085	0	1.2085	0	0	NA	NA	2116543
(f)	Financial Institutions / Banks		3	95722	0	0	95722	0.0547	95722	0	95722	0.0547	0	0.0547	0	0	NA	NA	95722
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)		7	13902165	0	0	13902165	7.938	13902165	0	13902165	7.938	0	7.938	0	0	NA	NA	13902165
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)		1	35615	0	0	35615	0.0203	35615	0	35615	0.0203	0	0.0203	0	0	NA	NA	35615
	Sub Total (B)(2)		1	35615	0	0	35615	0.0203	35615	0	35615	0.0203	0	0.0203	0	0	NA	NA	35615
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals			0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		6873	5652855	0	0	5652855	3.2277	5652855	0	5652855	3.2277	0	3.2277	0	0	NA	NA	5620445
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	747819	0	0	747819	0.427	747819	0	747819	0.427	0	0.427	0	0	NA	NA	747819
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Any Other (Specify)		555	23553813	0	0	23553813	13.449	23553813	0	23553813	13.449	0	13.449	0	0	NA	NA	23552813
	Hindu Undivided Family		213	710272	0	0	710272	0.4056	710272	0	710272	0.4056	0	0.4056	0	0	NA	NA	710272
	Non Resident Indians (Non Repat)		33	82203	0	0	82203	0.0469	82203	0	82203	0.0469	0	0.0469	0	0	NA	NA	82203
	Non Resident Indians (Repat)		76	90680	0	0	90680	0.0518	90680	0	90680	0.0518	0	0.0518	0	0	NA	NA	90680
	Clearing Member		100	190902	0	0	190902	0.109	190902	0	190902	0.109	0	0.109	0	0	NA	NA	190902
	Bodies Corporate:		133	22479756	0	0	22479756	12.8357	22479756	0	22479756	12.8357	0	12.8357	0	0	NA	NA	22478756
	Gainful Multitrade Private Limited	AACCG2852M	1	7458227	0	0	7458227	4.2586	7458227	0	7458227	4.2586	0	4.2586	0	0	NA	NA	7458227
	Roopam Multitrade Private Limited	AADCR7121H	1	5338684	0	0	5338684	3.0483	5338684	0	5338684	3.0483	0	3.0483	0	0	NA	NA	5338684
	Badrikedar Comerals Private Limited	AACCB8087D	1	3494645	0	0	3494645	1.9954	3494645	0	3494645	1.9954	0	1.9954	0	0	NA	NA	3494645
	Samvrudhi Tradesol Pvt Ltd	AAUCS8190E	1	2290847	0	0	2290847	1.308	2290847	0	2290847	1.308	0	1.308	0	0	NA	NA	2290847
	Sub Total (B)(3)		7432	29954487	0	0	29954487	17.1037	29954487	0	29954487	17.1037	0	17.1037	0	0	NA	NA	29921077
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		7440	43892267	0	0	43892267	25.062	43892267	0	43892267	25.062	0	25.062	0	0	NA	NA	43858857



Capri Global Capital Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Sno.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>





Date: April 11, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith details of investor grievance of the Company for the quarter ended March 31, 2018.

SCORES Registration ID*	m00263
No. of investor complaints pending at the beginning of quarter	Nil
No. of investor complaints received during the quarter	1
No. of investor complaints disposed off during the quarter	1
No. of investor complaints remaining unresolved at the end of the quarter	Nil

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For Capri Global Capital Limited

(Harish Agrawal)  
Senior Vice President & Company Secretary



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)



Date: April 11, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

In compliance with the provisions of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find herewith a copy of Certificate issued by Mr. Dinesh Kumar Deora, Practicing Company Secretary for the half year ended March 31, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

(**Harish Agrawal**)  
**Senior Vice President & Company Secretary**



Encl.: as above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)





Date: April 04, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex. Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., M/s. Link Intime India Private Limited, which is a SEBI approved category-I Registrar & Transfer Agent, registered with Securities and Exchange Board of India (SEBI Registration Number : INR000004058).

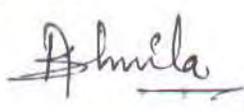
You are requested to take the same on record and acknowledge receipt of the same.

For Capri Global Capital Limited

  
(Harish Agrawal)  
Compliance Officer and  
Senior Vice President & Company Secretary



For M/s. Link Intime India Private Limited

  
Authorized Representative



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)



Date: April 11, 2018

To,

The Secretary <b>BOMBAY STOCK EXCHANGE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir,

**Sub.: Reconciliation of Share Capital Audit Report for the quarter ended March 31, 2018**

With reference to the captioned subject we are enclosing herewith “**Reconciliation of Share Capital Audit Report**” for the quarter ended March 31, 2018 for your perusal and records.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

(Harish Agrawal)  
Senior Vice President & Company Secretary



Encl: As above

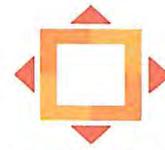
**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in







Regd Off: 1B, 1st Floor, Court Chambers,  
35, Sir Vithaldas Thackersey Marg,  
New Marine Lines, Mumbai 400 020  
Tel: 91 22 4354 8200; Fax: 91 22 2201 9051

To

<b>BOMBAY STOCK EXCHANGE LIMITED</b> PhirozeJeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051	<b>CAPRI GLOBAL CAPITAL LIMITED</b> 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>	

Dear Sir,

**Sub.: Annual Disclosure under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011**

We are enclosing herewith a statement showing annual disclosure as required under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011 as on March 31, 2018.

The above is for your information and record.

Thanking you

Yours faithfully,

**For CAPRI GLOBAL ADVISORY SERVICES PRIVATE LIMITED**

**ASHOK AGARWAL**  
**DIRECTOR**  
**DIN: 00350728**

Place: Mumbai

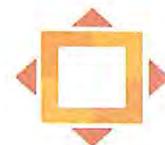
Date: April 02, 2018

Encl: as above

**Capri Global Advisory Services Private Limited**

(CIN: U27100MH1996PTC097249)

Corp. Off. : 4th Floor, Merchant Chambers, 41, Sir Vithaldas Thackersey Marg, New Marine Lines, Mumbai 400 020  
Tel: +91 22 4088 8100; Fax: +91 22 4088 8170; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in



**Disclosures under Regulation 30(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A Details of Shareholding**

1. Name of the Target Company(TC)	<b>Capri Global Capital Limited</b>		
2. Name(s)of the stock exchange(s) where the shares of the TC are listed	<b>Bombay Stock Exchange Limited National Stock Exchange of India Limited</b>		
3. Particulars of the shareholder(s): a. Name of person(s) together with Persons Acting in Concert (PAC) whose total holding (including that in the form of shares, warrants, convertible securities and any other instrument that would entitle the holder to receive shares in the TC) is more than 25% of the voting rights of the TC. or b. Name(s) of promoter(s), member of the promoter group and PAC with him.	<b>Capri Global Advisory Services Private Limited -Promoter</b>  <b>List enclosed *</b>		
4. Particulars of the shareholding of person(s) mentioned at (3) above	<b>Number of shares</b>	<b>% w.r.t.total Share/voting capital wherever applicable</b>	<b>% of total diluted share/voting capital of TC (*)</b>
As of March 31, 2018, holding of:			
a) Shares	<b>17517060</b>	<b>10.0020</b>	<b>10.0020</b>
b) Voting Rights (otherwise than by shares)	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
c) Warrants	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
d) Convertible Securities	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
e) Any other instrument that would entitle the holder to receive shares in the TC.	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total</b>	<b>17517060</b>	<b>10.0020</b>	<b>10.0020</b>



**Part-B**

**Name of the Target Company: Capri Global Capital Limited**

<b>Name(s) of the person and Persons Acting in Concert (PAC) with the person</b>	<b>Whether the person belongs to Promoter / Promoter group</b>	<b>PAN of the person and PACs</b>
a) Capri Global Advisory Services Private Limited (Person)	Promoter	AACCP2478C
b) Ramesh Chandra Sharma (PAC)	Promoter	ADUPS5874K
c) Ramesh Chandra Sharma-HUF (PAC)	Promoter	AAFHR9816N
d) Rajesh Sharma (PAC)	Promoter	AMBPS1495A
e) Capri Global Holdings Private Limited (PAC)	Promoter	AABCM4155A

**\* LIST OF PERSONS ACTING IN CONCERT**

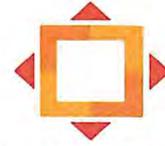
<b>Name(s) of promoter(s), member of the promoter group and Persons Acting in Concert (PAC) with Capri Global Advisory Services Private Limited</b>	<b>Number</b>	<b>% w.r.t.total share /voting capital wherever applicable</b>	<b>% of total diluted share/voting capital of TC</b>
• As on March 31, 2018 holding of Shares:			
a) Capri Global Holdings Private Limited	67824643	38.7271	38.7271
b) Ramesh Chandra Sharma	43764930	24.9893	24.9893
c) Ramesh Chandra Sharma-- HUF	2135405	1.2193	1.2193
d) Rajesh Sharma	500	0.0003	0.0003

**For CAPRI GLOBAL ADVISORY SERVICES PRIVATE LIMITED**

  
**(ASHOK AGARWAL)**  
**DIRECTOR**  
**DIN:00350728**

Place: Mumbai

Date: 2<sup>nd</sup> April, 2018



Regd Off: 1B, 1st Floor, Court Chambers,  
35, Sir Vithaldas Thackersey Marg,  
New Marine Lines, Mumbai 400 020  
Tel: 91 22 4354 8200; Fax: 91 22 2201 9051

To

<b>BOMBAYSTOCK EXCHANGE LIMITED</b> PhirozeJeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block Bandra- Kurla Complex, Bandra (East), Mumbai – 400 051	<b>CAPRI GLOBAL CAPITAL LIMITED</b> 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>	

Dear Sir,

**Sub.: Annual Disclosure under Regulation 30(2) of SEBI (Substantial  
Acquisition of Shares & Takeovers) Regulations, 2011**

We are enclosing herewith a statement showing annual disclosure as required under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011 as on March 31, 2018.

The above is for your information and record.

Thanking you

Yours faithfully,

**For CAPRI GLOBAL HOLDINGS PRIVATE LIMITED**

**ASHOK AGARWAL  
DIRECTOR  
DIN: 00350728**

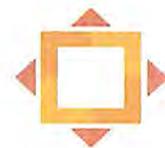
Place: Mumbai

Date: April 02, 2018

Encl : as above

**Capri Global Holdings Private Limited**

Corp. Off. : 4th Floor, Merchant Chambers, 41, Sir Vithaldas Thackersey Marg, New Marine Lines, Mumbai 400 020  
Tel: +91 22 4088 8100; Fax: +91 22 4088 8170; E-mail: contact@cacl.co.in; Website: www.cacl.co.in



**Disclosures under Regulation 30(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A Details of Shareholding**

1. Name of the Target Company(TC)	<b>Capri Global Capital Limited</b>		
2. Name(s)of the stock exchange(s) where the shares of the TC are listed	<b>Bombay Stock Exchange Limited National Stock Exchange of India Limited</b>		
3. Particulars of the shareholder(s): a. Name of person(s) together with Persons Acting in Concert (PAC) whose total holding (including that in the form of shares, warrants, convertible securities and any other instrument that would entitle the holder to receive shares in the TC) is more than 25% of the voting rights of the TC. or b. Name(s) of promoter(s), member of the promoter group and PAC with him.	<b>Capri Global Holdings Private Limited Promoter</b>  <b>List enclosed *</b>		
4. Particulars of the shareholding of person(s) mentioned at (3) above	<b>Number of shares</b>	<b>%w.r.t. total Share/voting capital wherever applicable</b>	<b>% of total diluted share/voting capital of TC (*)</b>
As of March 31, 2018, holding of:			
a) Shares	<b>67824643</b>	<b>38.727</b>	<b>38.727</b>
b) Voting Rights (otherwise than by shares)	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
c) Warrants	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
d) Convertible Securities	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
e) Any other instrument that would entitle the holder to receive shares in the TC.	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total</b>	<b>67824643</b>	<b>38.727</b>	<b>38.727</b>



**Part-B**

**Name of the Target Company: Capri Global Capital Limited**

<b>Name(s) of the person and Persons Acting in Concert (PAC) with the person</b>	<b>Whether the person belongs to Promoter / Promoter group</b>	<b>PAN of the person and PACs</b>
a) Capri Global Holdings Private Limited (Person)	Promoter	AABCM4155A
b) Ramesh Chandra Sharma (PAC)	Promoter	ADUPS5874K
c) Capri Global Advisory Services Private Limited (PAC)	Promoter	AACCP2478C
d) Ramesh Chandra Sharma-HUF(PAC)	Promoter	AAFHR9816N
e) Rajesh Sharma (PAC)	Promoter	AMBPS1495A

**\* LIST OF PERSONS ACTING IN CONCERT**

<b>Name(s) of promoter(s), member of the promoter group and Persons Acting in Concert (PAC) with Capri Global Holdings Private Limited</b>	<b>Number</b>	<b>% w.r.t.total share /voting capital wherever applicable</b>	<b>% of total diluted share/voting capital of TC</b>
• As on March 31, 2018 holding of Shares:			
a) Ramesh Chandra Sharma	43764930	24.9893	24.9893
b) Capri Global Advisory Services Private Limited	17517060	10.0020	10.0020
c) Ramesh Chandra Sharma-- HUF	2135405	1.2193	1.2193
d) Rajesh Sharma	500	0.0003	0.0003

**For CAPRI GLOBAL HOLDINGS PRIVATE LIMITED**

  
**(ASHOK AGARWAL)**  
**DIRECTOR**  
**DIN:00350728**

Place: Mumbai

Date: 2<sup>nd</sup> April, 2018

**RAJESH SHARMA**

Flat No. 501/502/503, 5th Floor, Arihant Building, Khar Gulnaz Co-op Hsg. Soc.  
Ltd., 15th Road, TPS III, Bandra (West), Mumbai-400050

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To

<b>BOMBAY STOCK EXCHANGE LIMITED</b> PhirozeJeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051	<b>CAPRI GLOBAL CAPITAL LIMITED</b> 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>	

Dear Sir,

**Sub.: Annual Disclosure under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011**

I am enclosing herewith a statement showing annual disclosure as required under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011 as on March 31, 2018.

The above is for your information and record.

Thanking you

Yours faithfully,



---

**RAJESH SHARMA**

Place: Mumbai

Date: April 02, 2018

Encl : as above

**Disclosures under Regulation 30(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A-Details of Shareholding**

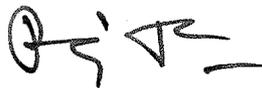
1. Name of the Target Company(TC)	<b>Capri Global Capital Limited</b>		
2. Name(s)of the stock exchange(s) where the shares of the TC are listed	<b>Bombay Stock Exchange Limited National Stock Exchange of India Limited</b>		
3. Particulars of the shareholder(s): a. Name of person(s) together with Persons Acting in Concert (PAC) whose total holding (including that in the form of shares, warrants, convertible securities and any other instrument that would entitle the holder to receive shares in the TC) is more than 25% of the voting rights of the TC. or b. Name(s) of promoter(s), member of the promoter group and PAC with him.	<b>Rajesh Sharma Promoter</b>  <b>List enclosed *</b>		
4. Particulars of the shareholding of person(s) mentioned at (3) above	<b>Number of shares</b>	<b>% w.r.t.total Share / voting capital</b>	<b>% of total diluted share/voting capital of TC (*)</b>
As of March 31, 2018, holding of:			
a) Shares	<b>500</b>	<b>0.0003</b>	<b>0.0003</b>
b) Voting Rights (otherwise than by shares)	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
c) Warrants	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
d) Convertible Securities	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
e) Any other instrument that would entitle the holder to receive shares in the TC.	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total</b>	<b>500</b>	<b>0.0003</b>	<b>0.0003</b>

**Part-B****Name of the Target Company: Capri Global Capital Limited**

<b>Name(s) of the person and Persons Acting in Concert (PAC) with the person</b>	<b>Whether the person belongs to Promoter / Promoter group</b>	<b>PAN of the person and PACs</b>
a) Rajesh Sharma (Person)	Promoter	AMBPS1495A
b) Ramesh Chandra Sharma (PAC)	Promoter	ADUPS5874K
c) Capri Global Advisory Services Private Limited (PAC)	Promoter	AACCP2478C
d) Ramesh Chandra Sharma-HUF (PAC)	Promoter	AAFHR9816N
e) Capri Global Holdings Private Limited (PAC)	Promoter	AABCM4155A

**\* LIST OF PERSONS ACTING IN CONCERT**

<b>Name(s) of promoter(s), member of the promoter group and Persons Acting in Concert (PAC) with Rajesh Sharma</b>	<b>Number</b>	<b>% w.r.t.total share /voting capital wherever applicable</b>	<b>% of total diluted share/voting capital of TC</b>
• As on March 31, 2018 holding of Shares:			
a) Capri Global Holdings Private Limited	67824643	38.7271	38.7271
b) Ramesh Chandra Sharma	43764930	24.9893	24.9893
c) Capri Global Advisory Services Private Limited	17517060	10.0020	10.0020
d) Ramesh Chandra Sharma - HUF	2135405	1.2193	1.2193

**RAJESH SHARMA**

Place: Mumbai

Date: 2<sup>nd</sup> April, 2018

**RAMESH CHANDRA SHARMA - HUF**

1-B, Court Chambers, 35 Sir Vithaldas Thackersey Marg, New Marine Lines,  
Mumbai-400020

To

<b>BOMBAY STOCK EXCHANGE LIMITED</b> PhirozeJeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051	<b>CAPRI GLOBAL CAPITAL LIMITED</b> 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>	

Dear Sir,

**Sub.: Annual Disclosure under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011**

I as Karta of Ramesh Chandra Sharma – HUF, am enclosing herewith a statement showing annual disclosure as required under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011 as on March 31, 2018.

The above is for your information and record.

Thanking you

Yours faithfully,

**For RAMESH CHANDRA SHARMA- HUF**

*Rcsharma*

**RAMESH CHANDRA SHARMA  
KARTA**

Place: *Mumbai*  
Date: *31/3/2018*

Encl : as above

**Disclosures under Regulation 30(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A-Details of Shareholding**

1. Name of the Target Company(TC)	<b>Capri Global Capital Limited</b>		
2. Name(s)of the stock exchange(s) where the shares of the TC are listed	<b>Bombay Stock Exchange Limited National Stock Exchange of India Limited</b>		
3. Particulars of the shareholder(s): a. Name of person(s) together with Persons Acting in Concert (PAC) whose total holding (including that in the form of shares, warrants, convertible securities and any other instrument that would entitle the holder to receive shares in the TC) is more than 25% of the voting rights of the TC. or b. Name(s) of promoter(s), member of the promoter group and PAC with him.	<b>Ramesh Chandra Sharma- HUF Promoter</b>  <b>List enclosed *</b>		
4. Particulars of the shareholding of person(s) mentioned at (3) above	<b>Number of shares</b>	<b>% w.r.t.total Share / voting capital</b>	<b>% of total diluted share/voting capital of TC (*)</b>
As of March 31, 2018, holding of:			
a) Shares	<b>2135405</b>	<b>1.2193</b>	<b>1.2193</b>
b) Voting Rights (otherwise than by shares)	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
c) Warrants	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
d) Convertible Securities	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
e) Any other instrument that would entitle the holder to receive shares in the TC.	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total</b>	<b>2135405</b>	<b>1.2193</b>	<b>1.2193</b>

**Part-B**

Name of the Target Company: Capri Global Capital Limited

Name(s) of the person and Persons Acting in Concert (PAC) with the person	Whether the person belongs to Promoter / Promoter group	PAN of the person and PACs
a) Ramesh Chandra Sharma-HUF (Person)	Promoter	AAFHR9816N
b) Ramesh Chandra Sharma (PAC)	Promoter	ADUPS5874K
c) Capri Global Advisory Services Private Limited (PAC)	Promoter	AACCP2478C
d) Rajesh Sharma (PAC)	Promoter	AMBPS1495A
e) Capri Global Holdings Private Limited (PAC)	Promoter	AABCM4155A

**\*LIST OF PERSONS ACTING IN CONCERT**

Name(s) of promoter(s), member of the promoter group and Persons Acting in Concert (PAC) with Ramesh Chandra Sharma - HUF	Number	% w.r.t. total share /voting capital wherever applicable	% of total diluted share/voting capital of TC
• As on March 31, 2018 holding of Shares:			
a) Capri Global Holdings Private Limited	67824643	38.7271	38.7271
b) Ramesh Chandra Sharma	43764930	24.9893	24.9893
c) Capri Global Advisory Services Private Limited	17517060	10.0020	10.0020
d) Rajesh Sharma	500	0.0003	0.0003

For RAMESH CHANDRA SHARMA- HUF


RAMESH CHANDRA SHARMA  
KARTAPlace: Mumbai  
Date: 31/3/2018

**RAMESH CHANDRA SHARMA**

Plot No. 12, Kailashpuri, Tonk Road, Jaipur – 302018

To

<b>BOMBAY STOCK EXCHANGE LIMITED</b> PhirozeJeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051	<b>CAPRI GLOBAL CAPITAL LIMITED</b> 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>	

Dear Sir,

**Sub.: Annual Disclosure under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011**

I am enclosing herewith a statement showing annual disclosure as required under Regulation 30(2) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulations, 2011 as on March 31, 2018.

The above is for your information and record.

Thanking you

Yours faithfully,



**RAMESH CHANDRA SHARMA**

Place: Mumbai  
Date: 31/3/2018

Encl : as above

**Disclosures under Regulation 30(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A Details of Shareholding**

1. Name of the Target Company(TC)	<b>Capri Global Capital Limited</b>		
2. Name(s)of the stock exchange(s) where the shares of the TC are listed	<b>Bombay Stock Exchange Limited National Stock Exchange of India Limited</b>		
3. Particulars of the shareholder(s): a. Name of person(s) together with Persons Acting in Concert (PAC) whose total holding (including that in the form of shares, warrants, convertible securities and any other instrument that would entitle the holder to receive shares in the TC) is more than 25% of the voting rights of the TC. or b. Name(s) of promoter(s), member of the promoter group and PAC with him.	<b>Ramesh Chandra Sharma - Promoter</b>  <b>List enclosed *</b>		
4. Particulars of the shareholding of person(s) mentioned at (3) above	<b>Number of shares</b>	<b>% w.r.t.total Share / voting capital wherever</b>	<b>% of total diluted share/voting capital of TC (*)</b>
As of March 31, 2018, holding of:			
a) Shares	<b>43764930</b>	<b>24.9893</b>	<b>24.9893</b>
b) Voting Rights (otherwise than by shares)	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
c) Warrants	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
d) Convertible Securities	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
e) Any other instrument that would entitle the holder to receive shares in the TC.	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total</b>	<b>43764930</b>	<b>24.9893</b>	<b>24.9893</b>

**Part-B**

Name of the Target Company: Capri Global Capital Limited

Name(s) of the person and Persons Acting in Concert (PAC) with the person	Whether the person belongs to Promoter / Promoter group	PAN of the person and PACs
a) Ramesh Chandra Sharma (Person)	Promoter	ADUPS5874K
b) Capri Global Advisory Services Private Limited (PAC)	Promoter	AACCP2478C
c) Ramesh Chandra Sharma-HUF (PAC)	Promoter	AAFHR9816N
d) Rajesh Sharma (PAC)	Promoter	AMBPS1495A
e) Capri Global Holdings Private Limited (PAC)	Promoter	AABCM4155A

**\* LIST OF PERSONS ACTING IN CONCERT**

Name(s) of promoter(s), member of the promoter group and Persons Acting in Concert (PAC) with Ramesh Chandra Sharma	Number	% w.r.t. total share / voting capital wherever applicable	% of total diluted share/voting capital of TC
• As on March 31, 2018 holding of Shares:			
a) Capri Global Holdings Private Limited	67824643	38.7271	38.7271
b) Capri Global Advisory Services Private Limited	17517060	10.0020	10.0020
c) Ramesh Chandra Sharma—HUF	2135405	1.2193	1.2193
d) Rajesh Sharma	500	0.0003	0.0003


**RAMESH CHANDRA SHARMA**Place: Mumbai  
Date: 31/3/2018



January 3, 2017

To,

<b>BSE LIMITED</b> P J Towers Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Bandra-kurla Complex, Bandra (East), Mumbai - 400 051
<b>Company Code No. : 531595</b>	<b>Company Code : CGCL</b>

Dear Sir / Madam,

**Sub: Disclosure pursuant to Regulation 7 (2) (b) of the SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Pursuant to Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 we are enclosing herewith Form C received on January 3, 2018 from Capri Global Holdings Private Limited, Promoter group entity, intimating regarding purchases of shares of the Company.

The above is for your record and dissemination to all the stakeholders.

Thanking you,  
Yours faithfully,

for Capri Global Capital Limited

(Harish Agrawal)  
Senior Vice President & Company Secretary



Encl.: a/a

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in



Regd Off: 1B, 1st Floor, Court Chambers,  
35, Sir Vithaldas Thackersey Marg,  
New Marine Lines, Mumbai 400 020  
Tel: 91 22 4354 8200; Fax: 91 22 2201 9051

January 3, 2018

To

The Company Secretary

Capri Global Capital Limited

Mumbai

Sub: Disclosure as per SEBI Guidelines w.r.t acquisition of Equity Shares of your  
Company .

Dear Sir,

We are enclosing herewith Disclosure in Form C as per the requirements of Regulation 7(2) read with Regulation 6(2) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Kindly acknowledge the receipt.

Thanking you

Yours sincerely

**For Capri Global Holdings Private Limited**

**(Rajesh Sharma)**

**Director**

**DIN:000200377**



**Capri Global Holdings Private Limited**

**(Formerly My Idea Financial Services Private Limited)**

Corp. Off. : 4th Floor, Merchant Chambers, 41, Sir Vithaldas Thackersey Marg, New Marine Lines, Mumbai 400 020  
Tel: +91 22 4088 8100; Fax: +91 22 4088 8170; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2)]

Name of the company: Capri Global Capital Limited

ISIN of the company: INE180C01026

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & address of Promoter/ Employee / Director with contact nos.	Category of Person (Promoters / KMP / Directors / immediate relative / others etc)	Securities held prior to acquisition / disposal		Securities Acquired / Disposed				Securities held post acquisition/disposal		Date of allotment advice/acquisition of shares/sale of shares specify		Date of intimation to company	Mode of acquisition (market purchase/public/rights/preferential offer / off market / Inter-se transfer, ESOP, etc.)
		Type of security (For eg. -Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction Type (Buy/Sale/Pledge / Revoke / Invoke)	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
<p>Name: Capri Global Holdings Private Limited</p> <p>PAN : AABCM4155A</p> <p>CIN: U67190MH1995PTC095112</p> <p>ADD.: 1B, 1<sup>st</sup> Floor, Court Chambers, 35, Sir Vithaldas Thackersey Marg, New Marine Lines, Mumbai -20</p> <p>Contact No.: 43548204</p>	Promoter	Equity Shares	6,60,40,395 (37.71%)	Equity Shares	17,84,248	21,31,59,442.45	Buy	Equity Shares	6,78,24,643 (38.73%)	January 2, 2018	---	January 3, 2018	Market Purchase



**Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.**

**Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).**

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
<b>NOT APPLICABLE</b>						

For Capri Global Holdings Private Limited



Director / Authorised Signatory

**Signature:**

**Designation: Director**

**DIN : 00020037**

**Date: January 3, 2018**

**Place: Mumbai**

---



January 19, 2018

Listing Department  
BSE Limited  
25 PJ Towers, Dalal Street  
Mumbai 400 001

**Sub : Certificate for Interest payment**

Dear Sir / Madam,

Pursuant to Regulation 57 (1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we hereby certify that we have made interest payment on time for the following series of Non-Convertible Debentures (NCD):

Sl. No.	ISIN NO.	Name of Security	Type of payment	Due Date of payment	Payment Date
1	INE180C07015	10.50% Secured Rated Listed Redeemable Non Convertible Debentures. Series 1 Tranche - I	Coupon	20- January-2018	19- January-2018

Request you to please take the above on record

Sincerely,

*S. Bhatnagar*



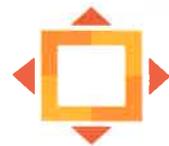
Authorised Signatory

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.

Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)



February 16, 2018

Listing Department  
BSE Limited  
25 PJ Towers, Dalal Street,  
Mumbai 400 001

**Sub : Certificate for Interest payment**

Dear Sir / Madam,

Pursuant to Regulation 57 (1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we hereby certify that we have made interest payment on time for the following series of Non-Convertible Debentures (NCD):

Sl. No.	ISIN NO.	Name of Security	Type of payment	Due Date of payment	Payment Date
1	INE180C07023	9.50% Secured Rated Listed Redeemable Non Convertible Debentures. Series 1- Tranche II	Coupon	17-February-2018	16-February-2018

Request you to please take the above on record

Thanking you,

Yours faithfully  
For **CAPRI GLOBAL CAPITAL LIMITED**

**(HARISH AGRAWAL)**  
**SENIOR VICE PRESIDENT & COMPANY SECRETARY**

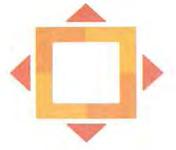


**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.

Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)



March 07, 2018

Listing Department  
BSE Limited  
25 PJ Towers, Dalal Street,  
Mumbai 400 001

Company Code No. : 531595

**Sub : Certificate for Interest payment**

Dear Sir / Madam,

Pursuant to Regulation 57 (1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we hereby certify that we have made interest payment on time for the following series of Non-Convertible Debentures (NCD):

Sl. No.	ISIN NO.	Name of Security	Type of payment	Due Date of payment	Payment Date
1	INE180C07031	10.25% Secured Rated Listed Redeemable Non Convertible Debentures. Series 1, Tranche III	Coupon	07-March-2018	07-March-2018

Request you to please take the above on record

Thanking you,

Yours faithfully  
For CAPRI GLOBAL CAPITAL LIMITED

*S. Gattani*

Authorised Signatory

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in



January 19, 2018

To,

**BSE LIMITED**  
P J Towers  
Dalal Street, Fort,  
Mumbai 400 001  
Company Code No. : 531595

Dear Sir/Madam,

**Sub: Intimation of Record date**

Pursuant to Regulation 60 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we hereby intimate the record date for coupon payment of Non-Convertible Debentures falling due in the month of February 2018:

Sl. No.	ISIN No.	Name of Security	Purpose of Record date	Date of payment	Record Date
1	INE180C07023	9.50% Secured Rated Listed Redeemable Non Convertible Debentures. Series 1, Tranche II	Coupon	17-February-2018	02-February-2018
2	INE180C07031	10.25% Secured Rated Listed Redeemable Non Convertible Debentures. Series 1, Tranche III	Coupon	07-March-2018	20-February-2018

Request you to please take the above on record

Thanking you,

Yours faithfully  
For Capri Global Capital Limited

Kaustubh Natu  
Senior Manager - Compliance & Secretarial



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in