

**Form MGT-9**  
**Extract of Annual Return**  
**As on the Financial Year Ended March 31, 2020**  
**[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the**  
**Companies (Management and Administration) Rules, 2014]**

**I. Registration and other details**

i.	CIN	L65921MH1994PLC173469
ii.	Registration Date	November 15, 1994
iii.	Name of the Company	Capri Global Capital Limited
iv.	Category / Sub-Category of the Company	Public Company having share capital
v.	Address of the Registered office and contact details	502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013, India  Tel: +91 22 4088 8100; Fax: +91 22 40888160
vi.	Whether listed company	Yes
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Private Limited C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083  Tel. No. 022-49186000; Fax: 022-49186060 Emil: <a href="mailto:rnt.helpdesk@linkintime.co.in">rnt.helpdesk@linkintime.co.in</a> Website: <a href="http://www.linkintime.co.in">www.linkintime.co.in</a>

**II. Principal business activities of the Company**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1.	Financial Services except Insurance and pension funding activities	64920	100%

**III. Particulars of holding, subsidiary and associate companies**

Sl. No.	Name and Address of the Company	CIN	Holding/ Subsidiary/As sociate	% of shares held <sup>1</sup>	Applicable Section
1.	Capri Global Housing Finance Limited Address: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013	U65990MH2006PLC161153	Subsidiary	100	2 (87)
2.	Capri Global Resources Private Limited Address: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013	U67190MH2010PTC200848	Subsidiary	100	2 (87)

<sup>1</sup>Representing aggregate % of shares held by the Company



Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
e) Any Other (Specify)									
i) IEPF	35,615	0	35,615	0.02	35,615	0	35,615	0.02	0
ii) HUF	6,59,096	0	6,59,096	0.38	5,31,274	0	5,31,274	0.30	(0.08)
iii) Non Resident Indians (Non Repat)	24,090	0	24,090	0.01	14,462	0	14,462	0.01	0
iv) Non Resident Indians (Repat)	50,764	0	50,764	0.03	39,121	0	39,121	0.02	(0.01)
v) Clearing Members / Clearing House	2,05,395	0	2,05,395	0.12	63,838	0	63,838	0.04	(0.08)
vi) Bodies Corporate	3,26,48,751	1,000	3,26,49,751	18.64	3,39,52,826	1,000	3,39,53,826	19.39	0.75
<b>Sub Total (B)(2)</b>	<b>3,81,61,076</b>	<b>25,410</b>	<b>3,81,86,486</b>	<b>21.80</b>	<b>3,78,54,257</b>	<b>25,410</b>	<b>3,78,79,667</b>	<b>21.63</b>	<b>(0.17)</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>4,38,66,857</b>	<b>25,410</b>	<b>4,38,92,267</b>	<b>25.06</b>	<b>4,38,66,857</b>	<b>25,410</b>	<b>4,38,92,267</b>	<b>25.06</b>	<b>0</b>
<b>Grand Total (A+B)</b>	<b>17,51,09,395</b>	<b>25,410</b>	<b>17,51,34,805</b>	<b>100.00</b>	<b>17,51,09,395</b>	<b>25,410</b>	<b>17,51,34,805</b>	<b>100.00</b>	<b>0</b>

## ii) Shareholding of Promoters

Sl. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change during the year
		No of Shares	% of Total Shares	% of shares pledged/ encumbered to total shares	No of Shares	% of Total Shares	% of shares pledged/ encumbered to total shares	
1.	Capri Global Holdings Private Limited	6,78,24,643	38.73	0	6,78,24,643	38.73	0	Nil
2.	Capri Global Advisory Services Private Limited	1,75,17,060	10.00	0	1,75,17,060	10.00	0	Nil
3.	Ramesh Chandra Sharma	4,37,64,930	24.99	0	4,37,64,930	24.99	0	Nil
4.	Ramesh Chandra Sharma - HUF	21,35,405	1.22	0	21,35,405	1.22	0	Nil
5.	Rajesh Sharma	500	0.00	0	500	0.00	0	Nil
	<b>TOTAL</b>	<b>13,12,42,538</b>	<b>74.94</b>	<b>0</b>	<b>13,12,42,538</b>	<b>74.94</b>	<b>0</b>	<b>Nil</b>

iii) **Change in Promoters' Shareholding:** There was no change in Promoters Shareholding during the year.

iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

S.No.	Shareholders Name	Shareholding		Cumulative Shareholding during the year	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
1	<b>Gainful Multitrade Private Limited</b>				
	at the beginning of the year	96,70,641	5.52	96,70,641	5.52
	April 12, 2019-Open Market Sale	(85,662)	(0.05)	95,84,979	5.47
	May 24, 2019-Open Market Sale	(21,343)	(0.01)	95,63,636	5.46
	December 13, 2019- Open Market Sale at the end of the Year	(51,180)	(0.03)	95,12,456	5.43
		95,12,456	5.43	95,12,456	5.43
2	<b>Roopam Multitrade Private Limited</b>				
	at the beginning of the year	67,84,558	3.87	67,84,558	3.87
	at the end of the Year	67,84,558	3.87	67,84,558	3.87
3	<b>Albula Investment Fund Limited</b>				
	at the beginning of the year	56,78,178	3.24	56,78,178	3.24
	at the end of the Year	56,78,178	3.24	56,78,178	3.24
4	<b>Badrikedar Commercials Private Limited</b>				
	at the beginning of the year	34,03,224	1.94	34,03,224	1.94
	February 21, 2020- Open Market Sale	(2,65,000)	(0.15)	31,38,224	1.79
	February 28, 2020- Open Market Purchase	2,65,000	0.15	34,03,224	1.94
	at the end of the Year	34,03,224	1.94	34,03,224	1.94
5	<b>Samvrudhi Multitrade Private Limited</b>				
	at the beginning of the year	32,29,599	1.84	32,29,599	1.84
	January 17, 2020- Open Market Sale	(118)	(0.00)	32,29,481	1.84
	February 21, 2020- Open Market Sale	(330)	(0.00)	32,29,151	1.84
	February 28, 2020- Open Market Sale	(24,552)	(0.01)	32,04,599	1.83
	At the end of the Year	32,04,599	1.83	32,04,599	1.83
6	<b>Gladiolus Property and Investments Private Limited</b>				
	at the beginning of the year	25,80,375	1.47	25,80,375	1.47
	at the end of the Year	25,80,375	1.47	25,80,375	1.47
7	<b>Suhani Trading and Investment Consultants Private Limited</b>				
	at the beginning of the year	21,94,109	1.25	21,94,109	1.25
	April 5, 2019- Open Market Sale	(48,468)	(0.03)	21,45,641	1.23
	April 12, 2019- Open Market Sale	(36,667)	(0.02)	21,08,974	1.20
	April 26, 2019- Open Market Purchase	58,663	0.03	21,67,637	1.24
	May 3, 2019- Open Market Purchase	40,737	0.02	22,08,374	1.26
	May 24, 2019- Open Market Sale	(82,117)	(0.05)	21,26,257	1.21
	May 31, 2019- Open Market Sale	(86,369)	(0.05)	20,39,888	1.16
	June 7, 2019- Open Market Sale	(50,336)	(0.03)	19,89,552	1.14
	June 14, 2019- Open Market Purchase	80,835	0.05	20,70,387	1.18
	June 21, 2019- Open Market Purchase	1,34,442	0.08	22,04,829	1.26
	June 29, 2019- Open Market Sale	(14,855)	(0.01)	21,89,974	1.25
	July 5, 2019- Open Market Sale	(54,979)	(0.03)	21,34,995	1.22
	September 13, 2019- Open Market Purchase	59,420	0.03	21,94,415	1.25
	September 20, 2019- Open Market Purchase	489	0.00	21,94,904	1.25
	September 27, 2019- Open Market Purchase	91	0.00	21,94,995	1.25
	November 8, 2019- Open Market Purchase	24,500	0.01	22,19,495	1.27
November 15, 2019- Open Market Purchase	23,000	0.01	22,42,495	1.28	
November 22, 2019- Open Market Purchase	90,729	0.05	23,33,224	1.33	

	November 29, 2019- Open Market Purchase	37,802	0.02	23,71,026	1.35
	December 6, 2019- Open Market Purchase	104	0.00	23,71,130	1.35
	December 13, 2019- Open Market Purchase	1,365	0.00	23,72,495	1.35
	at the end of the Year	23,72,495	1.35	23,72,495	1.35
8	<b>Samvrudhi Tradesol Private Limited</b>				
	at the beginning of the year	23,15,729	1.32	23,15,729	1.32
	at the end of the Year	23,15,729	1.32	23,15,729	1.32
9	<b>RBM Realty Private Limited</b>				
	at the beginning of the year	12,88,500	0.74	12,88,500	0.74
	at the end of the Year	12,88,500	0.74	12,88,500	0.74
10	<b>Punyah Business Ventures Private Limited</b>				
	at the beginning of the year	0	0.00	0	0.00
	October 18, 2019- Open Market Purchase	26,112	0.01	26,112	0.01
	October 25, 2019- Open Market Purchase	1,312	0.00	27,424	0.02
	February 7, 2020- Open Market Purchase	5,25,926	0.30	5,53,350	0.32
	March 20, 2020- Open Market Purchase	1,00,000	0.06	6,53,350	0.37
	at the end of the Year	6,53,350	0.37	6,53,350	0.37
11	<b>Janak Babubhai Thakar (HUF)</b>				
	at the beginning of the year	5,20,586	0.30	5,20,586	0.30
	April 5, 2019- Open Market Sale	(1,01,250)	(0.06)	4,19,336	0.24
	April 12, 2019- Open Market Sale	(500)	(0.00)	4,18,836	0.24
	April 19, 2019- Open Market Purchase	8,512	0.00	4,27,348	0.24
	April 26, 2019- Open Market Purchase	3,103	0.00	4,30,451	0.25
	May 3, 2019- Open Market Purchase	3,946	0.00	4,34,397	0.25
	May 10, 2019- Open Market Purchase	21,100	0.01	4,55,497	0.26
	May 17, 2019- Open Market Purchase	13,977	0.01	4,69,474	0.27
	May 24, 2019- Open Market Sale	(2,049)	(0.00)	4,67,425	0.27
	May 31, 2019- Open Market Sale	(1,000)	(0.00)	4,66,425	0.27
	June 7, 2019- Open Market Purchase	7,540	0.00	4,73,965	0.27
	June 14, 2019- Open Market Purchase	25,477	0.01	4,99,442	0.29
	June 29, 2019- Open Market Purchase	7,464	0.00	5,06,906	0.29
	July 19, 2019- Open Market Purchase	11,964	0.01	5,18,870	0.30
	July 26, 2019- Open Market Sale	(1,712)	(0.00)	5,17,158	0.30
	August 9, 2019- Open Market Purchase	7,150	0.00	5,24,308	0.30
	August 30, 2019- Open Market Sale	(88)	(0.00)	5,24,220	0.30
	September 6, 2019- Open Market Sale	(12,284)	(0.01)	5,11,936	0.29
	September 13, 2019- Open Market Purchase	11,072	0.01	5,23,008	0.30
	September 20, 2019- Open Market Sale	(29,165)	(0.02)	4,93,843	0.28
	September 27, 2019- Open Market Sale	(80,125)	(0.05)	4,13,718	0.24
	September 30, 2019- Open Market Sale	(45,500)	(0.03)	3,68,218	0.21
	October 4, 2019- Open Market Sale	(10,403)	(0.01)	3,57,815	0.20
	October 11, 2019- Open Market Purchase	4,350	0.00	3,62,165	0.21
	October 18, 2019- Open Market Purchase	23,746	0.01	3,85,911	0.22
	October 25, 2019- Open Market Sale	(319)	(0.00)	3,85,592	0.22
	November 1, 2019- Open Market Purchase	12,972	0.01	3,98,564	0.23
	November 8, 2019- Open Market Sale	(10,817)	(0.01)	3,87,747	0.22
	November 15, 2019- Open Market Purchase	5,566	0.00	3,93,313	0.22
	November 22, 2019- Open Market Purchase	14,053	0.01	4,07,366	0.23
	November 29, 2019- Open Market Sale	(300)	(0.00)	4,07,066	0.23
	December 6, 2019- Open Market Purchase	9,770	0.01	4,16,836	0.24
	December 13, 2019- Open Market Purchase	3,200	0.00	4,20,036	0.24
	December 20, 2019- Open Market Sale	(962)	(0.00)	4,19,074	0.24
	December 27, 2019- Open Market Purchase	2,101	0.00	4,21,175	0.24
	January 10, 2020- Open Market Purchase	11,855	0.01	4,33,030	0.25

January 24, 2020- Open Market Purchase	10,255	0.01	4,43,285	0.25
January 31, 2020- Open Market Purchase	16,485	0.01	4,59,770	0.26
February 7, 2020-Open Market Purchase	5,304	0.00	4,65,074	0.27
February 14, 2020-Open Market Sale	(58,381)	(0.03)	4,06,693	0.23
February 21, 2020-Open Market Purchase	6,501	0.00	4,13,194	0.24
February 28, 2020-Open Market Purchase	1,011	0.00	4,14,205	0.24
March 6, 2020-Open Market Sale	(3,191)	(0.00)	4,11,014	0.23
March 13,2020-Open Market Purchase	2,198	0.00	4,13,212	0.24
March 20,2020-Open Market Purchase	7,889	0.00	4,21,101	0.24
March 27,2020-Open Market Sale	(829)	(0.00)	4,20,272	0.24
March 31,2020-Open Market Sale	(3,600)	(0.00)	4,16,672	0.24
at the end of the Year	4,16,672	0.24	4,16,672	0.24

Note: Top ten shareholders of the Company as on March 31, 2020 have been considered for the above disclosure.

#### v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Shareholders Name	Shareholding		Cumulative Shareholding during the year	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
1.	Rajesh Sharma				
	at the beginning of the year	500	0.0003	500	0.0003
	at end of the Year	500	0.0003	500	0.0003

Note: None of the Directors and Key Managerial Personnel hold any shares in the Company except mentioned above.

#### V. INDEBTEDNESS

##### Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rupees in lakhs)

Particulars	Secured Loans excluding deposits (Rs.)	Unsecured Loans (Rs.)	Deposits (Rs.)	Total Indebtedness (Rs.)
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	2,09,186.98	--	--	2,09,186.98
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	74.69	--	--	74.69
<b>Total (i+ii+iii)</b>	<b>2,09,261.67</b>	<b>--</b>	<b>--</b>	<b>2,09,261.67</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	68,000.00	--	--	68,000.00
Reduction	70,066.85	--	--	70,066.85
<b>Net Change</b>	<b>(2,066.85)</b>	<b>--</b>	<b>--</b>	<b>(2,066.85)</b>
<b>Ind AS Impact</b>	<b>(890.21)</b>	<b>--</b>	<b>--</b>	<b>(890.21)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	2,06,229.91	--	--	2,06,229.91

Particulars	Secured Loans excluding deposits (Rs.)	Unsecured Loans (Rs.)	Deposits (Rs.)	Total Indebtedness (Rs.)
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	314.32	--	--	314.32
<b>Total (i+ii+iii)</b>	<b>2,06,544.24</b>	<b>--</b>	<b>--</b>	<b>2,06,544.24</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL MENTIONING THEIR GROSS SALARY, VALUE OF PERQUISITES, PROFITS IN LIEU OF SALARY, ETC.**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Rajesh Sharma Managing Director
1.	Gross Salary	24,00,000
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	-
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	-
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-
2.	Stock Options	-
3.	Sweat Equity	-
4.	Commission	-
	- as % of profit	-
	- others, specify	-
5.	Others, please specify	-
	<b>Total (A)</b>	<b>24,00,000</b>
Ceiling as per the Act (Rs. in Lakhs)		Remuneration as per Schedule V of the Companies Act, 2013

**B. Remuneration to other Directors( Refer Corporate Governance Report for Details)**

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Fee for Attending Board/ Committee Meetings	Commission	Others, please specify	Total Amount
1	<b>Independent Directors</b>				
	Mr. Beni Prasad Rauka	10,05,000	-	-	10,05,000
	Ms. Bhagyam Ramani	7,20,000	-	-	7,20,000
	Mr. Mukesh Kacker	6,00,000	-	-	6,00,000
	Mr. T. R. Bajalia <sup>2</sup>	6,45,000	-	-	6,45,000
	Mr. Ajay Kumar Relan	3,00,000	-	-	3,00,000
	Mr. Ajit Sharan <sup>3</sup>	2,55,000	-	-	2,55,000
	<b>Total (1)</b>	<b>35,25,000</b>	<b>-</b>	<b>-</b>	<b>35,25,000</b>
2	<b>Other Non - Executive Director</b>				
	Mr. Quintin E. Primo III Non-executive Chairman <sup>4</sup>	1.34,400	-	-	1.34,400
	<b>Total (2)</b>	<b>1.34,400</b>	<b>-</b>	<b>-</b>	<b>1.34,400</b>
	<b>Total = (1+2)</b>	<b>36,59,400</b>			<b>36,59,400</b>

Total Managerial Remuneration	NA	NA	-	NA
Overall Ceiling as per the Act	NA	NA	-	NA

<sup>2</sup> Mr. T.R. Bajalia ceased to be a Director of the Company with effect from December 19, 2019

<sup>3</sup> Mr. Ajit Sharan appointed as a Director of the Company with effect from June 1, 2019

<sup>4</sup> Mr. Quintin E. Primo III ceased to be a Director of the Company with effect from June 1, 2019

### C. Remuneration to Key Managerial Personnel Other Than MD / Manager / WTD

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total Amount
		Ashish Gupta <sup>5</sup> Chief Financial Officer	Abhishekh Kanoi <sup>6</sup> Vice-President and Group Company Secretary	Harish Agrawal <sup>7</sup> Senior Vice President & Company Secretary	
1	Gross salary	98,41,935	15,09,446	21,08,587	1,34,59,968
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission - as % of profit - others, specify...	-	-	-	-
5	Others, please specify	-	-	-	-
	<b>Total</b>	<b>98,41,935</b>	<b>15,09,446</b>	<b>21,08,587</b>	<b>1,34,59,968</b>

<sup>5</sup> Mr. Ashish Gupta appointed as Chief Financial Officer of the Company with effect from May 3, 2019

<sup>6</sup> Mr. Abhishekh Kanoi appointed as Company Secretary of the Company with effect from November 8, 2019

<sup>7</sup> Mr. Harish Agrawal ceased to be Company Secretary of the Company with effect from August 31, 2019

### VII. Penalties/punishment/compounding of offences (under the Companies Act, 1956 & Companies Act, 2013): Nil