

MGT-9
Extract of Annual Return
As on the Financial Year Ended March 31, 2019

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and other details

i.	CIN	L65921MH1994PLC173469
ii.	Registration Date	15 th November, 1994
iii.	Name of the Company	Capri Global Capital Limited
iv.	Category / Sub-Category of the Company	Public Company
v.	Address of the Registered office and contact details	502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013, India Tel: +91 22 4088 8100; Fax: +91 22 40888170
vi.	Whether listed company	Yes
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Private Limited C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083 Tel. No. 022-49186000; Fax: 022-49186060 Emil: rnt.helpdesk@linkintime.co.in

II. Principal business activities of the company

All the business activities contributing 10% or more of the total turnover of the Company:-

Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
Financial Services except Insurance and pension funding activities	64920	100.00

III. Particulars of holding, subsidiary and associate companies

Sr. No.	Name and Address of the Company	CIN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1.	Capri Global Housing Finance Limited*	U65990MH2006PLC161153	Subsidiary	100.00	2 (87)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
d) Any Other (Specify)									
i) IEPF	35,615	0	35,615	0	35,615	0	35,615	0.02	0.00
ii) HUF	7,10,272	0	7,10,272	0.41	6,59,096	0	6,59,096	0.38	(0.03)
iii) Non Resident Indians (Non Repat)	82,203	0	82,203	0.05	24,090	0	24,090	0.01	(0.04)
iv) Non Resident Indians (Repat)	90,680	0	90,680	0.05	50,764	0	50,764	0.03	(0.02)
v) Clearing Members / Clearing House	1,90,902	0	1,90,902	0.11	2,05,395	0	2,05,395	0.12	0.01
vi) Bodies Corporate	2,24,78,756	1,000	2,24,79,756	12.83	3,26,48,751	1,000	3,26,49,751	18.64	5.81
Sub Total (B)(3)	2,99,21,077	33,410	2,99,54,487	17.10	3,81,61,076	25,410	3,81,86,486	21.80	4.70
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	4,38,58,857	33,410	4,38,92,267	25.06	4,38,66,857	25,410	4,38,92,267	25.06	0.00
Grand Total (A+B)	17,51,01,395	33,410	17,51,34,805	100.00	17,51,09,395	25,410	17,51,34,805	100.00	0
C.Non Promoter - Non Public									
1. Custodian/DR Holder	0	0	0	0	0	0	0	0	0
2. Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	17,51,01,395	33,410	17,51,34,805	100.00	17,51,09,395	25,410	17,51,34,805	100.00	0

ii) Shareholding of Promoters

Sr. No.	Shareholders Name	Shareholding at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
		No of Shares	% of Total Shares	% of shares pledged/encumbered to total shares	No of Shares	% of Total Shares	% of shares pledged/encumbered to total shares	
1.	Capri Global Holdings Private Limited	6,78,24,643	38.73	0	6,78,24,643	38.73	0	Nil

Sr. No.	Shareholders Name	Shareholding at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
		No of Shares	% of Total Shares	% of shares pledged/encumbered to total shares	No of Shares	% of Total Shares	% of shares pledged/encumbered to total shares	
2.	Capri Global Advisory Services Private Limited	1,75,17,060	10.00	0	1,75,17,060	10.00	0	Nil
3.	Ramesh Chandra Sharma	4,37,64,930	24.99	0	4,37,64,930	24.99	0	Nil
4.	Ramesh Chandra Sharma - HUF	21,35,405	1.22	0	21,35,405	1.22	0	Nil
5.	Rajesh Sharma	500	0.00	0	500	0.00	0	Nil
	TOTAL	13,12,42,538	74.94	0	13,12,42,538	74.94	0	Nil

iii) **Change in Promoters' Shareholding:** There was no change in Promoters Shareholding during the year.

iv) **Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)**

Sr No.	For Each of the Top Ten Shareholder	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
1	Gainful Multitrade Pvt. Ltd.	74,58,227	4.2586	01.06.18	3,44,151	Purchase	96,70,641	5.5218
				24.08.18	1,77,450			
				31.08.18	2,12,496			
				07.09.18	1,00,344			
				14.09.18	25,000			
				29.09.18	74,390			
				12.10.18	12,86,083			
15.03.19	(7,500)	Sell						
2	Roopam Multitrade Pvt. Ltd.	53,38,684	3.0483	01.06.18	4,00,000	Purchase	67,84,558	3.8739
				08.06.18	5,37,002			
				30.06.18	61,031			
				24.08.18	15,895			
				31.08.18	33,055			
				07.09.18	1,02,903			
				14.09.18	6,006			
				29.09.18	1,98,646			

Sr No.	For Each of the Top Ten Shareholder	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
				05.10.18	91,336			
3	Albula Investment Fund Ltd.	0	0	12.10.18	11,49,352	Purchase	56,78,178	3.2422
				19.10.18	38,00,000			
				15.03.19	58,484			
				22.03.19	2,86,610			
				29.03.19	3,83,732			
4	Badrikedar Commercials Private Ltd	34,94,645	1.9954	08.06.18	(28,500)	Sell	34,03,224	1.9432
				30.06.18	(13,950)			
				06.07.18	(26,856)			
				13.07.08	(20,952)			
				20.07.18	(2,977)			
				27.07.18	(2,000)			
				03.08.18	(8,692)			
				01.02.19	12,506	Purchase		
5	Samvrudhi Multitrade Pvt. Ltd.	9,29,599	0.5308	27.07.18	8,00,000	Purchase	32,29,599	1.8441
				12.10.18	15,00,000			
6	Gladiolus Property and Investments Pvt. Ltd.	0	0	01.06.18	18,90,173	Purchase	25,80,375	1.4734
				08.06.18	18,099			
				29.09.18	2,98,511			
				05.10.18	17,658			
				19.10.18	3,55,934			
7	Samvrudi Tradesol Pvt. Ltd.	22,90,847	1.3080	27.07.18	38,382	Purchase	23,15,729	1.3223
				15.03.19	(13,500)	Sell		
8	SuhaniTrading and Investment Consultants Private Limited	0	0	19.10.18	13,32,822	Purchase	21,94,109	1.2528
				26.10.18	18,879			
				02.11.18	788			
				23.11.18	1			
				30.11.18	9			
				11.01.19	1,56,755			
				18.01.19	54,878			
				25.01.19	20,770			
				01.02.19	5,339			
				08.02.19	5,80,407			
15.02.19	23,461							
9	RBM Realty Private Limited	12,88,500	0.7357	-	-	-	12,88,500	0.7357

Sr No.	For Each of the Top Ten Shareholder	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
10	Janak Babubhai Thakkar (HUF)	4,30,660	0.2459	06.04.18	4,000	Purchase	5,20,586	0.2972
				13.04.18	570			
				20.04.18	6,179			
				27.04.18	3,700			
				04.05.18	8,095			
				11.5.18	762			
				18.05.18	6,325			
				25.01.18	3			
				01.06.18	14,839			
				08.06.18	2,730			
				10.08.18	1,500			
				07.09.18	(3,600)	Sell		
				29.09.18	45,747	Purchase		
				12.10.18	(4,027)	Sell		
				19.10.18	11,516	Purchase		
				26.10.18	15,438	Purchase		
				02.11.18	(978)	Sell		
				09.11.18	(2,985)	Sell		
				16.11.18	4,778	Purchase		
				23.11.18	7,835	Purchase		
				30.11.18	(11,413)	Sell		
				07.12.18	3,644	Purchase		
				14.12.18	(3,549)	Sell		
				28.12.18	2,500	Purchase		
				04.01.19	(500)	Sell		
				11.01.19	9,106	Purchase		
18.01.19	6,405	Purchase						
01.02.19	8,621	Purchase						
08.02.19	(7,662)	Sell						
15.02.19	7,852	Purchase						
08.03.19	4,320	Purchase						
15.03.19	(27,825)	Sell						
29.03.19	(24,000)	Sell						
11	Bay Pond MB	72,09,178	4.1164	08.06.18	(7,607)	Sell	0	0
				15.06.18	(1,319)			
				12.10.18	(72,00,252)			
12		27,43,995	1.5668	06.04.18	(30,875)	Sell	0	0

Sr No.	For Each of the Top Ten Shareholder	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
	Morgan Stanley Mauritius Company Limited			20.04.18	(7,990)			
				18.05.18	(3,473)			
				25.05.18	(1,12,051)			
				01.06.18	(25,89,136)			
				07.09.18	(96)			
				14.09.18	(374)			
13	Bay Pond BMD MB	21,16,543	1.2085	08.06.18	(2,344)	Sell	0	0
				15.06.18	(9,119)			
				22.06.18	(3,528)			
				06.07.18	(2,424)			
				13.07.18	(1,426)			
				27.07.18	(8,38,362)			
14	Ithan Creek MB	17,36,727	0.9917	12.10.18	(12,59,340)	Sell	0	0
				06.04.18	(7,838)			
				20.04.18	(2,223)			
				18.05.18	(630)			
				25.05.18	(29,462)			
				01.06.18	(6,68,575)			
				12.10.18	(10,27,999)			

v) Shareholding of Directors and Key Managerial Personnel

A. Directors

Sr No.	Name of Director	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
		No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
1	Rajesh Sharma	500	0.0003	NA	NA	NA	500	0.0003

None of the other Directors holds any share in the Company.

B. Key Managerial Personnel

Sr No.	Name of KMP	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
		No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
1	Rajesh Sharma	500	0.0003	NA	NA	NA	500	0.0003

None of the other Key Managerial Personnel holds any share in the Company.

V. Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rupees in lacs)

Particulars	Secured Loans excluding deposits (Rs.)	Unsecured Loans (Rs.)	Deposits (Rs.)	Total Indebtedness (Rs.)
Indebtedness at the beginning of the financial year				
i) Principal Amount	1,30,882.33	14,778.07	--	1,45,660.40
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	109.51	--	--	109.51
Total (i+ii+iii)	1,30,991.84	14,778.07	--	1,45,769.91
Change in Indebtedness during the financial year				
Addition	1,31,203.04	--	--	1,31,203.04
Reduction	53,181.50	15,000.00	--	68,181.50
Net Change	78021.54	(15,000.00)	--	63021.54
Ind AS Impact	283.11	221.93	--	505.04
Indebtedness at the end of the financial year				
i) Principal Amount	2,09,186.98	--	--	2,09,186.98
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	74.69	--	--	74.69
Total (i+ii+iii)	2,09,261.67	--	--	2,09,261.67

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Rajesh Sharma - Managing Director * (Rs.)	Bipin Kabra - Director Finance # (Rs.)
1.	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	17,80,645	52,30,557
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil	Nil
2.	Stock Options	Nil	Nil
3.	Sweat Equity	Nil	Nil
4.	Commission	Nil	Nil
	- as % of profit		
	- others, specify		
5.	Others, please specify	Nil	Nil
	Total (A)	17,80,645	52,30,557
	Ceiling as per the Act (Rs. in Lakhs)		905.79

Ceased to be a Director of the Company with effect from July 31, 2018; hence remuneration data till July 31, 2018.

* Appointed as a Managing Director of the Company with effect from July 04, 2018

B. Remuneration to other Directors

1. Independent Directors

Particulars of Remuneration	Name of Directors						Total Amount
	Mr. Beni Prasad Rauka	Ms. Bhagyam Ramani	Mr. Mukesh Kacker	Mr. T. R. Bajalia	Mr. D. R. Dogra*	Mr. Ajay Kumar Relan#	
Fee for attending Board/Committee Meetings (Rs.)	12,00,000	15,00,000	5,85,000	8,40,000	3,00,000	75,000	45,00,000
- Commission	NIL	NIL	NIL	NIL	NIL	NIL	NIL
- Others, please specify	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total (B)(1) (Rs.)	12,00,000	15,00,000	5,85,000	8,40,000	3,00,000	75,000	45,00,000

*Ceased to be a Director with effect from September 19, 2018

Appointed as a Director with effect from December 04, 2018

2. Other Non-Executive Directors

Particulars of Remuneration	Name of the Director	Total Amount (Rs.)
	Mr. Quintin E. Primo III Non-executive Chairman	
Fee for attending Board/Committee Meetings	2,85,000	2,85,000

Particulars of Remuneration	Name of the Director	Total Amount (Rs.)
	Mr. Quintin E. Primo III <i>Non-executive Chairman</i>	
-Commission	NIL	NIL
- Others, please specify	NIL	NIL
Total (B)(2)	2,85,000	2,85,000
Total Amount paid NEDs and IDs (B)= (B)(1)+ (B)(2)		47,85,000
Total Managerial Remuneration (Total of A)		70,11,202
Over all Ceiling as per the Act (Rs. in Lakhs)		1,992.74

C. Remuneration to Key Managerial Personnel Other Than MD / Manager / WTD

Sr.No.	Particulars of Remuneration	Harish Agrawal <i>Senior Vice President & Company Secretary</i> (Rs.)
1.	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	64,37,580
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil
2.	Stock Options	Nil
3.	Sweat Equity	Nil
4.	Commission	Nil
	- as % of profit	
	- others, specify	
5.	Others, please specify	Nil
	Total (C)	64,37,580

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty			None		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment					
Compounding					