

## ANNEX I

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1 Name of Listed Entity : Capri Global Capital Limited

2 Quarter ending : December 31, 2020

1. Composition of Board of Directors													
Title (Mr./Ms)	Name of the Director	PAN	DIN	Category (Chairperson /Executive/ Non- Executive/ independent / Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directors in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)#	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Mukesh Kacker	AGTPK2827N	01569098	Non – Executive –Independent	01-04-2014	01-04-2019	N.A.	6 years, 9 months	13-04-1957	1	1	1	0
Mr.	Rajesh Sharma	AMBPS1495A	00020037	Executive (Managing Director)	15-05-2007	02-08-2019	N.A.	-	28-02-1970	1	0	1	0
Ms.	Bhagyam Ramani	AGEPR8495C	00107097	Non – Executive –Independent	01-04-2014	01-04-2019	N.A.	6 Years, 9 Months	09-01-1952	4	4	3	0
Mr.	Beni Prasad Rauka	ABKPR5067M	00295213	Non – Executive –Independent	01-04-2014	01-04-2019	N.A.	6 Years, 9 Months	01-04-1964	2	1	3	2
Mr.	Ajay Kumar Relan	AADPR4374F	00002632	Non – Executive -Non Independent	04-12-2018	02-08-2019	N.A.	2 Years	09-12-1953	5	4	5	3
Mr.	Ajit Mohan Sharan	ABZPS6351C	02458844	Non – Executive -Independent	01-06-2019	02-08-2019	N.A.	1 Year, 7 Months	02-03-1957	2	2	1	0
Mr.	Jayesh Nagindas Doshi	AAIPD3863G	00017963	Whole Time Director	07-11-2020	N.A	N.A.	1 Month, 24 days	02-02-1965	1	0	0	0
Whether Regular chairperson appointed												NO	
Whether Chairperson is related to Managing Director or CEO												NO	

\*In case of Independent Director, the tenure would mean the total period from which the Independent Director is serving on the Board of the Company in continuity without any cooling off period.

# Pursuant to FAQs on Corporate Governance Report published by the National Stock Exchange of India Limited, number of membership in Committees include chairmanship in Committees.

**II. Composition of Committees**

**1 Audit Committee Details**

Whether the Audit Committee has a Regular Chairperson				YES	
Sr. No.	DIN Number	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)	Date of Appointment	Date of Cessation
1	00295213	Mr. Beni Prasad Rauka	Chairman, Non – Executive, Independent	01-04-2019	NA
2	01569098	Mr. Mukesh Kacker	Non – Executive, Independent	01-04-2019	NA
3	00107097	Ms. Bhagyam Ramani	Non – Executive, Independent	01-04-2019	NA

**2 Nomination & Remuneration Details**

Whether the Nomination & Remuneration Committee has a Regular Chairperson				YES	
Sr. No.	DIN Number	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/ Nominee)	Date of Appointment	Date of Cessation
1	00107097	Ms. Bhagyam Ramani	Chairperson -Non-Executive - Independent Director	01-04-2019	NA
2	00295213	Mr. Beni Prasad Rauka	Non – Executive, Independent	01-04-2019	NA
3	02458844	Mr. Ajit Mohan Sharan	Non – Executive, Independent	09-01-2019	NA

**3 Stakeholder Relationship Committee Details**

Whether the Stakeholder Relationship Committee has a Regular Chairperson				YES	
Sr. No.	DIN Number	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/ Nominee)	Date of Appointment	Date of Cessation
1	00295213	Mr. Beni Prasad Rauka	Chairman-Non-Executive - Non-Independent Director	01-04-2019	NA
2	00107097	Ms. Bhagyam Ramani	Non – Executive, Independent	01-04-2019	NA
3	00020037	Mr. Rajesh Sharma	Executive Director	09-05-2014	NA

**4 Risk Management Committee Details**

Whether the Risk Management Committee has a Regular Chairperson				YES	
Sr. No.	DIN Number	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/ Nominee)	Date of Appointment	Date of Cessation
1	00020037	Mr. Rajesh Sharma	Chairman-Executive Director	04-07-2018	NA
2	00107097	Ms. Bhagyam Ramani	Non – Executive, Independent	01-04-2019	NA
3	00295213	Mr. Beni Prasad Rauka	Non – Executive, Independent	01-04-2019	NA

5 Corporate Social Responsibility Committee Details

Whether the Corporate Social Responsibility Committee has a Regular Chairperson				YES	
Sr. No.	DIN Number	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/ independent/ Nominee)	Date of Appointment	Date of Cessation
1	00107097	Ms. Bhagyam Ramani	Chairperson-Non-Executive - Non-Independent Director	01-04-2019	NA
2	00295213	Mr. Beni Prasad Rauka	Non – Executive, Independent	01-04-2019	NA
3	00020037	Mr. Rajesh Sharma	Executive Director	01-04-2014	NA

III. MEETING OF BOARD OF DIRECTORS					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting(if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive (in number of days)
	December 15, 2020	Yes	7	4	38
July 31, 2020	November 06, 2020	Yes	7	4	97

\*to be filled in only for the current quarter meetings.

IV. MEETING OF COMMITTEE					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors present*	Date(s) of Meeting of the Committee in the previous quarter	Maximum gap between any two consecutive (in number of days)*
<b>Audit Committee</b>					
December 15, 2020	Yes	3	3		39
November 5, 2020	Yes	3	3	July 31, 2020	96
<b>Nomination &amp; Remuneration Committee</b>					
December 15, 2020	Yes	3	3		39
November 5, 2020	Yes	3	3	July 30, 2020	95
<b>Stakeholders Relationship Committee</b>					
November 5, 2020	Yes	3	2	July 30, 2020	95
<b>Risk Management Committee</b>					
November 5, 2020	Yes	3	2	July 30, 2020	95
<b>Corporate Social Responsibility Committee</b>					
NA	-	-	-	April 29, 2020	NA

\*to be filled in only for the current quarter meetings.

V. RELATED PARTY TRANSACTIONS	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES

VI. AFFIRMATIONS		
Sr.No.	Affirmations	Compliance Status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.	YES
2	The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders Relationship Committee d. Risk management committee (applicable to the top 100 listed entities)	YES YES YES YES
3	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
4	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	YES
5	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.	YES

for Capri Global Capital Limited

Harish Kumar Agrawal  
Senior Vice President & Company Secretary



Date : January 16, 2021

ANNEX III

Format to be submitted by listed entity at the end of 6 months after end of financial year along-with the second quarter's report of next financial year

Affirmations		
Broad Heading	Regulation Number	Compliance status (Yes/No/NA)
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	YES
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	YES
Presence of Chairperson of the nomination and remuneration committee at the Annual General Meeting	19(3)	YES
Presence of Chairperson of the Stakeholder Relationship committee at the Annual General Meeting	20(3)	YES
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	YES

for Capri Global Capital Limited



Harish Kumar Agrawal  
Senior Vice President & Company Secretary



Date : January 16, 2021